

Serial No. 09/719955 File No. 1043/7028 By: TAH

Title: Backlit Displays  
Application of: Heeks et al

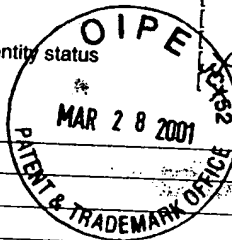
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WGS Date: ADD

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| <ul style="list-style-type: none"><li><input type="checkbox"/> Mailing by Express Mail (37 CFR 1.10)</li><li><input type="checkbox"/> Express Mail Label No. _____</li><li><input type="checkbox"/> Patent Application<ul style="list-style-type: none"><li><input type="checkbox"/> Non-provisional <input type="checkbox"/> Provisional</li><li>Incl. _____ pages, ( _____ pgs) Specification,</li><li>( _____ pgs) Abstract, ( _____ pgs) Claims ( _____ # claims)</li></ul></li><li><input type="checkbox"/> Design Patent Application</li><li><input type="checkbox"/> Declaration(s) _____</li><li><input type="checkbox"/> Drawings _____ Sheet(s) (FIGS. _____)</li><li><input type="checkbox"/> <input type="checkbox"/> Formal <input type="checkbox"/> Informal</li><li><input type="checkbox"/> Utility Patent Application Transmittal</li><li><input type="checkbox"/> Fee calculation sheet (x2)</li><li><input type="checkbox"/> CPA Transmittal</li><li><input type="checkbox"/> Verified Statement claiming small entity status</li><li><input type="checkbox"/> Assignment and Cover Sheet</li></ul> | <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> Provisional Application Cover Sheet</li><li><input checked="" type="checkbox"/> Multiple Dependent Claim Fee Sheet</li><li><input checked="" type="checkbox"/> Inf. Discl. Statement, PTO Form 1449</li><li><input checked="" type="checkbox"/> References Cited</li><li><input type="checkbox"/> Priority Document(s) # _____</li><li><input type="checkbox"/> Copy of Notice to File Missing Parts</li><li><input type="checkbox"/> Amendment/Response</li><li><input type="checkbox"/> Petition for Ext. of Time (x2)</li><li><input type="checkbox"/> Issue Fee Transmittal</li><li><input type="checkbox"/> Letter to Official Draftsperson</li><li><input type="checkbox"/> Notice of Appeal</li><li><input type="checkbox"/> Brief (x3)</li><li><input type="checkbox"/> Check for \$ _____ Check # _____</li><li><input type="checkbox"/> Transmittal Letter (x2)</li><li><input type="checkbox"/> Cert. of Mailing under 37 CFR 1.8(a)</li></ul> |
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☐ Other \_\_\_\_\_



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ATTORNEY'S DOCKET NO: C1043/7028

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Heeks et al.  
Serial No: 09/719,955  
Filed: December 18, 2000  
For: BACKLIT DISPLAYS

COPY

Assistant Commissioner for Patents  
Washington, D.C. 20231

Transmitted herewith is/are the following document(s):

- ☒ Information Disclosure Statement
- ☒ PTO Form 1449

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

No fee is required. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

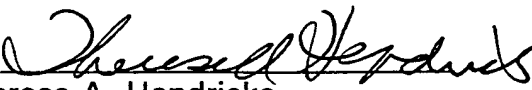
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3-26-01  
DATE

  
THERESE HENDRICKS

Docket No. C1043/7028  
Dated: March 26, 2001  
XNDD

Respectfully submitted,

By:   
Therese A. Hendricks  
Registration No. 30,389  
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600 Atlantic Avenue  
Boston, MA 02210  
Tel. (617)720-3500

ATTORNEY'S DOCKET NO: C1043/7028

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Heeks et al.  
Serial No: 09/719,955  
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Assistant Commissioner for Patents  
Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98,  
the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the  
mailing date of a first Office Action on the merits in the above-identified case. No  
fee or certification is required.

PART II: - Information Cited

A. ☐ The Applicant hereby makes of record in the above-identified application  
the information listed on the attached form PTO-1449 (modified). The order of  
presentation of the references should not be construed as an indication of the  
importance of the references.

B. ☐ The Applicant hereby makes the following additional information of  
record in the above-identified application:

PART III: Explanation of Non-English Language References and Remarks  
Concerning Other Information Cited

The following is a concise explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified):

The following are remarks concerning the other information cited:

PART IV: Remarks

Applicants hereby make of record the enclosed: (1) International Search Report in corresponding cases PCT/GB99/01918; (2) UK Patent Office's Search Report in corresponding case UK Application No. GB9813326.7; (3) the references cited in the reports; and (4) WO91/10223 referred to in the specification. Please note the following equivalents:

|            |                  |            |
|------------|------------------|------------|
| EP 0880303 | is equivalent to | WO98/24271 |
| GB 2314665 | is equivalent to | WO97/38347 |
| GB 2314196 | is equivalent to | WO96/33483 |

A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:



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600 Atlantic Avenue  
Boston, MA 02210  
Telephone (617) 720-3500

Docket No. C1043/7028

Dated: March 26, 2001

xndd

|   |                               |                       |
|---|-------------------------------|-----------------------|
| FORM PTO-1449(Modified)<br><br>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S<br>INFORMATION DISCLOSURE STATEMENT | ATTY. DOCKET NO. C1043/70.    | SERIAL NO. 09/719,955 |
|   | APPLICANT Heeks et al.        |                       |
|   | FILING DATE December 18, 2001 | GROUP                 |

**COPY**

#### U.S. PATENT DOCUMENTS

| Exam<br>Init | Ref<br>Des | Document No. | Date | Name | Class | Sub<br>Class | FILING DATE<br>If Appropriate |
|--------------|------------|--------------|------|------|-------|--------------|-------------------------------|
|              |            |              |      |      |       |              |                               |
|              |            |              |      |      |       |              |                               |
|              |            |              |      |      |       |              |                               |

#### FOREIGN PATENT DOCUMENTS

|  |  | Doc. No. (11) | Pub.<br>Date (43) | Country                     | Class | Sub<br>Class | Translation<br>Yes No |  |
|--|--|---------------|-------------------|-----------------------------|-------|--------------|-----------------------|--|
|  |  | WO 96/33483   | 24.10.96          | PCT                         |       |              |                       |  |
|  |  | WO 98/24271   | 04.06.98          | PCT (English Abstract)      |       |              |                       |  |
|  |  | EP 0702251 A3 | 20.03.96          | Europe                      |       |              |                       |  |
|  |  | JP 10012377   | 16-01-98          | Patent Abstract of Japan    |       |              |                       |  |
|  |  | WO 93/13514   | 08.07.93          | PCT                         |       |              |                       |  |
|  |  | WO 95/21400   | 10.08.95          | PCT                         |       |              |                       |  |
|  |  | JP 09330792   | 22-12-97          | Patent Abstract of Japan    |       |              |                       |  |
|  |  | WO 97/38347   | 16.10.97          | PCT (previously GB 2314665) |       |              |                       |  |
|  |  | WO 96/33483   | 24.10.96          | PCT (previously GB 2314196) |       |              |                       |  |
|  |  | WO 91/10223   | 11.07.91          | PCT                         |       |              |                       |  |
|  |  |               |                   |                             |       |              |                       |  |

#### OTHER ART

(Including Author, Title, Date, Pertinent Pages, Publication, Etc.)

\_\_\_\_ Bharathan et al., "Polymer Electroluminescent Devices Processed By Inkjet Printing: I. Polymer Light-Emitting Logo," Applied Physics Letters, vol. 72, no. 21, pp. 2660-2662, 25 May 1998

\* a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant